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PATENT  
Docket No. H 5098 PCT/US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

RE: PCT/EP02/00013  
International Filing Date: January 03, 2002  
Priority Date Claimed: January 10, 2001  
Applicant: Naumann et al.  
Title: INDOLE/INDOLINE BASED HYBRID DYES AND  
INDOLE/INDOLINE BASED HYBRID DYE INTERMEDIATE PRODUCTS  
Applicants' Reference: H 5098 PCT/US

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:


Under 37 C.F.R. §§ 1.56 and 1.97(b) applicants disclose to the Examiner the documents listed on the attached IDC form PTO-1449; a copy of each document is enclosed. Applicants respectfully ask the Examiner to consider each document and note that consideration where provided on the IDC. The relevance of the non-English references is explained in the specification and/or in the enclosed English language search report issued by the European Patent Office in the international stage of this application.

This Statement does not represent that a search was made or that no better art exists and does not constitute an admission that the listed documents are material or constitute prior art. Therefore applicants reserve the right to present to the Examiner the relevant facts and law regarding the status of each listed document. Applicants further reserve the right to take appropriate action to establish patentability of the claimed invention over the listed documents, should any be applied against the claims.

**U.S. National Stage for International Application PCT/EP02/00013 filed January 3, 2002**

This statement is being filed within three months of the filing of this application, and therefore no fee for submitting this IDS is believed to be due. However, the Assistant Commissioner is hereby authorized to charge Deposit Account No. 01-1250 if any fee under 37 C.F.R. 1.17 is needed to have this statement entered and the references considered by the Patent and Trademark Office.

Respectfully submitted,



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Enclosures

1. IDC w/references
2. International Search Report

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	To be assigned	
			Filing Date	To be assigned	
			First Named Inventor	Naumann et al.	
			Art Unit	To be assigned	
Examiner Name	To be assigned				
Sheet	1	of	2	Attorney Docket Number	H 5098 PCT/US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code* (if known)			
		US-4,961,754	10/09/1990	Grollier	
		US-5,785,717	07/28/1998	Manubru et al.	
		US-6,503,283 B1	01/07/2003	Lang et al.	
		US-6,179,882 B1	01/30/2001	Vidal et al.	
		US-6,165,229	12/26/2000	Vidal et al.	
		US-6,210,447 B1	03/03/2001	Vidal et al.	
		US-6,340,372 B1	01/22/2002	Vidal et al.	
		US-6,139,589	10/31/2000	Vidal et al.	
		US-5,534,267	07/09/1996	Neunhoeffer et al.	
		US-4,003,699	01/18/1977	Rose et al.	
		US-6,284,003 B1	09/04/2001	Rose et al.	
		US-5,061,289	10/29/1991	Clausen et al.	
		US-5,380,340	01/10/1995	Neunhoeffer et al.	
		US-5,663,366	09/02/1997	Neunhoeffer et al.	
		US-6,099,592	08/08/2000	Vidal et al.	
		US-5,766,576	06/16/1998	Lowe et al.	
		US-3,861,868	01/21/1975	Milbrada	
		US-4,865,774	09/12/1989	Fabry et al.	
		US-4,931,218	06/05/1990	Schenker et al.	
		US-5,294,726	03/15/1994	Behler et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Country	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>2</sup> - Kind Code* (if known)				
		WO99/20234 A1 ✓	04/29/1999	PCT (US6503283)		
		DE 27 16 671 A1 ✓	10/19/1978	Germany		
		EP 0 530 229 B1 ✓	06/28/1995	Europe (CA2083378)		X
		CA 2 083 378 ✓	11/20/1991	Canada		
		WO 97/35550 A1 ✓	10/02/1997	PCT (US6179882)		
		WO 97/35552 A1 ✓	10/02/1997	PCT (US6165229)		
		WO 07/35553 A1 ✓	10/02/1997	PCT (US6210447)		
		WO 98/08485 A1 ✓	03/05/1998	PCT (US6340372)		
		WO 98/08486 A2 ✓	03/05/1998	PCT (US6139589)		
		EP 0 740 741 B2 ✓	10/28/1998	Europe		
		WO94/08970 A1 ✓	04/28/1994	PCT (US5534267)		
		GB 1 026 978 ✓	04/20/1966	Great Britain		
		GB 1 153 196 ✓	05/29/1969	Great Britain		
		DE 23 59 399 A1 ✓	06/12/1975	Germany (US4003699)		
		JP 02-19576 ✓	01/23/1990	Japan		
		WO 96/15765 A1 ✓	05/30/1996	PCT (US6284003)		X
		DE 38 43 892 A1 ✓	06/28/1990	Germany (US5061289)		
		DE 41 33 957 A1 ✓	04/15/1993	Germany (US5380340)		
		WO 94/08969 A1 ✓	04/28/1994	PCT (US5663366)		
		EP 0 740 931 B1 ✓	08/20/1997	Europe (US6099592)		

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Substitute for form 1449A/PTO			<b>Complete if Known</b>		
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			Art Unit	To be assigned	
			Examiner Name	To be assigned	
Sheet	2	of	2	Attorney Docket Number	H 5098 PCT/US

		DE 195 43 988 A1	✓	05/28/1997	Germany (US5766576)		
		DE 2 215 303	✓	10/12/1972	Germany (US3861868)		
		DE 37 25 030 A1	✓	02/09/1989	Germany (US4865774)		
		DE 37 23 354 A1	✓	01/26/1989	Germany (US4931218)		
		DE 39 26 344 A1	✓	02/28/1991	Germany (US5294726)		X
		DE 39 29 973 A1	✓	03/14/1991	Germany (CA2066226)		X
		CA 2 066 226	✓	03/09/1991	Canada		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue Number(s), publisher, city and/or country where published.		T <sup>2</sup>
		The Science of Hair Care, Chapter 7, pages 235-261, published in Volume 7 of Dermatology, Marcel Dekker Inc. NY/Basle (1986)		
		The Science of Hair Care, Chapter 8, pages 263-286, published in Volume 7 of Dermatology, Marcel Dekker Inc. NY/Basle (1986)		
		EU Inventory of Cosmetic Ingredients, Colipa, March 1996 on diskette		
		B. Umbach, Kosmetik, 2 <sup>nd</sup> Edition, Georg Theime Verlag, Stuttgart New York (1995)		
		K. Schrader, Grundlagen un Rezepturen der Kosmetika [Bases and Formulations Cosmetics], 2 <sup>nd</sup> Edition, Huethig Buch Verlag, Heidelberg, Germany (1989)		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

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